

# Wholesale/Sophisticated Investor Accountant's Certificate



**SUREFIRE**  
CAPITAL

## 1. Investor

Full name of Investor

- Joint accounts ..... A separate certificate for each account holder is required  
Company accounts ..... The certificate should be in the name of the corporation (not the director/shareholders)  
Superannuation or family trust ..... The certificate should be in the name of the Trustee (not the name of the trust fund)  
Where a person is acting as a trustee ..... A separate certificate is required for each trustee  
Where a company is acting as a trustee ..... The certificate should be in the name of the corporation

ACN (If Company)

ABN (If Trust)

## 2. Accountant Details

Full name of Accountant

Address of Accountant

## 3. Accountant Certification *(please tick appropriate box)*

a. I, the Accountant named in section 2 certify that I am:

- a member of CPA Australia who is entitled to use the post-nominals 'CPA' or 'FCPA' and is subject to and complies with the CPM's continuing professional development requirements; or
- a member of the Institute of Chartered Accountants in Australia who is entitled to use the post-nominals 'CA' or 'ACA' or 'FCA' and is subject to and complies with the ICAA's continuing professional education requirements; or
- a member of the National Institute of Accountants who is entitled to use the post-nominals 'PNA', 'FPNA', 'MNIA' or 'FNA' and is subject to and complies with the NIA's continuing professional development

b. In accordance with the requirements of Section 708(8)(c) and 761G(7)(c) of the Corporations Act, I certify that the Investor named above has:

- net assets of at least \$2.5 million; or
- a gross income for each of the last two financial years of at least \$250,000.00 a year.

## 4. Accountant Signature

Signature of Accountant \_\_\_\_\_ Date Signed

## 5. Acknowledgement of control entities

Being the holder of a current Accountant's Certificate issued in accordance with Section 708(8)(c) or 761G(7)(c) of the Corporations Act, the Investor named above controls the following entities (trust or company);

- Full name of entity 1
- Full name of entity 2
- Full name of entity 3
- Full name of entity 4

'Control' referring to having the capacity to determine the outcome of decisions about an entity's financial and operating policies.

## 6. Investor or Accountant Signature *(only required if section 5 is completed)*

Signature of Investor or Accountant \_\_\_\_\_ Date